

# McCormick Braun Friman, LLC

217 North Jefferson St. | Chicago, IL 60661 | [www.mbflegal.com](http://www.mbflegal.com)

## Personal Financial Statement

The personal financial statement provides us with an overview of your financial assets. This statement is essential for us to *most* effectively begin the estate planning process. Providing this information prior to a meeting will allow us to carry the process further and in a timely manner.

Upon completion of this statement please mail it to our offices at:

McCormick Braun Friman, LLC  
217 North Jefferson St. (1<sup>st</sup> Floor)  
Chicago, IL 60661

or email it to our Estate Planning Coordinator, Jessica at [jessica@mbflegal.com](mailto:jessica@mbflegal.com). Should you have any questions feel free to give us a call at (312) 327-3402.

*Planning for your future and your family's future has never been so simple.*

**Personal Financial Statement**

Section 1 - Individual/Applicant Information (Please Print)					Section 2 - Other Party/Co-Applicant Information					
Name					Name					
Residence Address			Yrs.		Residence Address			Yrs.		
City		State	Zip		City		State	Zip		
Position or Occupation					Position or Occupation					
Business Name					Business Name					
Business Address					Business Address					
City		State	Zip		City		State	Zip		
Years with Business		Residential Phone		Business Phone		Years with Business		Residential Phone		Business Phone

STATEMENT OF FINANCIAL CONDITION AS OF

Date Signed	Signature (Individual)	Social Security #	Date of Birth
Date Signed	Signature (Other Party)	Social Security #	Date of Birth

**Section 3 - Balance Sheet** (attach additional schedules as needed)

Assets	Dollars	Liabilities	Dollars
Cash & Short-Term Investments (Schedule A)		Outstanding Credit Card Balances	
Stocks & Bonds (readily marketable) (Schedule B)		Taxes Payable	
Unlisted Securities (Schedule C)		Policy Loan (Life Insurance) (Schedule D)	
Notes Receivable & Accounts Receivable		Mortgages & Obligations due (Schedules F & G)	
Cash Surrender Value - Life Insurance (Schedule D)		Notes & Accounts Payable (Schedule H)	
General/Ltd Partnership Interest (Schedule E)		Other Liabilities (list):	
Retirement Accounts			
Personal Property			
Automobiles			
Real Estate- Personal Residences (Schedule F)			
Real Estate - Investments (Schedule G)			
Other Assets (list):			
		<b>Total Liabilities</b>	\$ -
<b>Total Assets</b>	\$ -	<b>NET WORTH (total assets minus total liabilities)</b>	\$ -

**Section 4 - Income Statement for the Period** through

Annual Income	Applicant	Co-Applicant	Annual Expenses	Applicant	Co-Applicant
Salary			Home Mortgage (Principal & Interest)		
Bonus & Commissions			Loan Payments (including other R/E)		
Interest & Dividends			Income Tax (State & Federal)		
Alimony, Separate Maintenance, Child Support**			Planned or Required Investments/Partnership Contributions		
Capital Gains			General Living Expenses		
Real Estate Income			Alimony, Child Support, Maintenance		
Other Income (list)			Insurance		
			Other Expenses (list):		
<b>GROSS INCOME</b>	\$ -	\$ -	<b>TOTAL EXPENSES</b>	\$ -	\$ -

**Section 5 - Contingent Liabilities (include brief description)**

As endorser or guarantor on notes/contracts:	Applicant	Co-Applicant
On letter of Credit:		
Current or pending suit or other litigation:		
Other (Partnership, etc.) explain:		
<b>TOTAL</b>	\$ -	\$ -

\*\*Alimony, separate maintenance, and/or child support income need not be revealed if you do not wish to have it considered as a basis for repaying this obligation.

**ATTACH SUPPLEMENTAL INFORMATION AS REQUIRED**

**Schedule A: Cash & Short-term Investments (certificates of deposit, commercial paper, money market funds, etc.) \*Jointly Held.**

Name of Institution	Account Number	Savings Accts. (\$ Amount)	Checking Accts. (\$ Amount)	Other Short-Term Investment (Type & \$ Amount)	Pledged (Y or N)	In Name of:

**Schedule B: Stocks and Bonds (include U.S. Government and Marketable Securities)**

Number of Shares or Face Value (Bonds)	Description	Cost	Current Market Value per Share	Market Value	Restricted (Y or N)	Margin (Y or N)	Pledged (Y or N)	In Name of:
				\$ -				
				\$ -				
				\$ -				

**Schedule C: Unlisted Securities**

Number of Shares	Description	Source of Value	Value	Percent of Company Owned	Pledged (Y or N)	In Name of:

**Schedule D: Life Insurance Carried (include individual and group insurance)**

Name of Insurance Company	Owner of Policy	Beneficiary	Face Value	Cash Surrender Value	Policy Loans	Assigned (Y or N)

**Schedule E: General and/or Limited Interest (Please Attach K-1)**

Name of Partnership	Type of Investment	(L)imited (G)eneral	Amount Invested	Current Market Value of Interest	Annual Contribution Required	Pledged (Y or N)	In Name of:

**Schedule F: Real Estate (personal residences)**

Description/Address of Property	Title in Name of:	Mortgage Holder	Maturity Date	Purchase Date	Cost	Present Loan Balance	Monthly Payment	Market Value

**Schedule G: Real Estate Investments**

Description/Address of Property	Title in Name of:	% Owned	Cost	Market Value	Purchase Date	Maturity Date	Present Loan Bal.	Mortgage Holder	Total Annual	Monthly Loan Pmt.	Other Expenses

**Schedule H: Notes & Accounts Payable (also include credit lines and other commitments even if unused.)**

Name of Creditor	Original Amt. Of Loan	Payment/ Repymt Terms	Maturity Date	Interest Rate	Description of Collateral (if any)	Balance	In Name of:

**Personal Information**

Do you have a will? If yes, name of executor:		# of Dependents		Ages		Income Tax Settled thru	
Are you a partner or officer in any venture other than described on schedules? If yes, describe:				Description:			
Are any assets pledged other than as described on schedules? If yes, describe:				Description:			
Have you ever been declared bankrupt?		Are there any outstanding judgements against you?		Do you have disability insurance?			